

# GFI GROUP INC. LEADERSHIP



**Michael Gooch** Executive Chairman

Mr. Gooch founded the business of GFI Group Inc. in 1987 and was GFI's Chief Executive Officer from 1987 until early 2013. Mr. Gooch still serves as the Executive Chairman of the Board. Prior to this, Mr. Gooch worked for Citibank, Refco Group, Bierbaum Martin, Harlow Meyer Savage and Tullet & Tokyo Forex. Mr. Gooch is the President and majority shareholder of Jersey Partners, the largest shareholder of GFI.

Mr. Gooch served for eight years as a member of the Board of Trustees of Monmouth University in New Jersey and was a Board Member of the Count Basie Theatre Foundation, Red Bank, NJ. In 2007, Global Capital Associates honored Mr. Gooch with the Theodor Herzl award, which salutes "people who had a vision to build new enterprises and movements and change existing paradigms; people with the courage to do something different."



**Colin Heffron** Chief Executive Officer

Colin Heffron was appointed Chief Executive Officer of GFI Group Inc. in 2013, and is responsible for the overall management of GFI's global businesses. Joining the firm in 1988 as a broker of foreign currency options in New York, Mr. Heffron was one of the first employees of GFI Group Inc. He subsequently moved to London to assist in the establishment of GFI's London office and from 1994 to 1997 he was head of the currency options business and managed the day-to-day operations of all of GFI's European businesses. In 1998 his responsibilities increased as he became the joint head of all Asian operations. Mr. Heffron was appointed President in February of 2004 and has been a member of GFI's Board of Directors since 2001. He is also Chairman of the Board of Directors of Trayport, an energy trading technology provider and wholly owned subsidiary of GFI, as well as on the Board of Directors of BondDesk Group LLC, a leading fixed income technology platform. Mr. Heffron graduated with a bachelor's degree in Government from St. Lawrence University in Canton, NY.



**James A. Peers** Chief Financial Officer

James Peers, Chief Financial Officer, joined the company in August 2002. Prior to joining the company, Mr. Peers was a Senior Vice President at Bank One in Chicago from 1999 to 2001 where he held various positions, including Corporate Controller. He also was the Chief Financial Officer for Rabobank International in New York and a Senior Vice President-Corporate Development for Canadian Imperial Bank of Commerce in New York. Mr. Peers is a Certified Public Accountant and Chartered Accountant and was a partner at Ernst & Young where he spent 18 years working in its Toronto and Chicago offices.



**Christopher D'Antuono** General Counsel

Mr. D'Antuono joined GFI in August 2004. Prior to assuming the duties of General Counsel in 2014, Mr. D'Antuono was GFI's Vice President and Assistant General Counsel. Before joining GFI, he was an Associate with the law firm of Cadwalader, Wickersham and Taft from 2000 to 2004.



**Jerry Dobner** Chief Technology Officer

Mr. Dobner joined GFI in 2001. He oversees business analysis, quality assurance and development of GFI's electronic trading platforms, including technology development, system support and investments in FENICS and Trayport, subsidiaries of GFI Group. During his time at GFI, Mr. Dobner has helped develop CreditMatch®, FENICS® and most recently managed the development of GFI's energy trading platform, EnergyMatch®. Prior to joining GFI, Mr. Dobner developed real-time stock quote software at NewsAlert.



**Julian Swain** Senior Managing Director

Mr. Swain joined GFI in 2001 to head GFI's European credit business. He became Managing Director - London in 2006. He then became a Senior Managing Director and became the Head of GFI London in March 2007. Mr. Swain also has overall responsibility for GFI's Dublin, Dubai, Cape Town, and Nyon offices. Prior to joining GFI, Mr. Swain was at ICAP for 8 years, where he managed various credit desks. Mr. Swain graduated from Loughborough University in 1988 with an MSc in Economics and Management Science.



**Richard Giles** Managing Director - Head of Americas Broking

Richard Giles joined GFI in 1993 and has worked across a number of divisions and products in both Hong Kong and in London, including currency options, energy and credit. In July 2010 he was appointed Managing Director - Head of Commodities and Energy Brokerage, North America, responsible for overseeing all of GFI's commodity business in North America, including its subsidiary Amerex®. In April 2012, Mr Giles assumed the additional role of Head of GFI's Fixed Income business in North America, responsible for all areas of fixed income including the Latium fixed income business, single-name credit default swaps, credit indices, corporate and high yield bonds, leveraged loans and structured credit, including index tranches. In May 2015, Mr Giles was promoted to the role of Head of Americas Broking, responsible for all of GFI's North and Latin American brokerage businesses across all products within the Fixed Income, Rates, Commodities, Equities and Financial divisions of GFI.



**Nick Brown** Managing Director - Head of Financial Product Brokerage, Americas

Mr. Brown joined GFI in November 2003. Mr. Brown's experience in the interdealer brokerage industry includes positions as Managing Director, North America of Tullet and Tokyo and President of Harlow Meyer Savage. Mr. Brown is a director of Jersey Partners, the largest shareholder of GFI. Mr. Brown served as a director of the Financial Markets Association, and was a member of the Federal Reserve Bank of New York Foreign Exchange Steering Committee. He currently serves as a member of the Wholesale Market Brokers Association.



**Scott Tatham** Managing Director - Head of Asia

Mr. Tatham joined GFI in 2005 and is responsible for the day-to-day operations of GFI's Asian brokerage businesses. Mr. Tatham has over 20 years' experience in interdealer broking, working in all the world's major financial centres. Prior to joining GFI, Mr. Tatham worked at Harlow and Tullett Prebon, where he brokered and managed fixed income, equity derivatives, credit derivatives and FX derivative products and businesses.



**Marc Souffir** Managing Director

Mr. Souffir joined GFI in 2005, as Manager Director of international futures and equities. He played a vital role in the establishment of GFI's Paris office, and managed all brokerage desks operated out this office. Mr. Souffir has since taken on more responsibility, now managing and operating various European businesses and a multitude of brokerage desks throughout EMEA. He specifically focusses on overseeing the cash equities and financial futures business in Europe for GFI Securities. Prior to joining GFI in 2005 Mr. Souffir worked as Managing Director of REFCO's Paris office. Prior to working at REFCO Mr. Souffir was responsible for various equities, equity derivatives, and financial futures products at IFF, a division of AXA.



**James Martin** Managing Director - London

Mr. Martin joined GFI in 1992. As GFI's Managing Director, London, Mr. Martin works alongside Mr. Swain in the running of the London brokerage business. Mr. Martin has held a number of roles at GFI, including the running of the FX cross options business, moving later into GFI's e-Commerce division as a product manager in London, then New York. He returned to London in 2004 to set up GFI's credit index desk and was also responsible for running GFI's structured credit business. Before he joined GFI, Mr. Martin traded currency options and US government securities at Bank of New York.