

GFI (HK) Securities LLC

(Incorporated in United States of America with limited liability)
A GFI GROUP INC. COMPANY

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11 September 2024

Client notice

Severe Weather Trading Arrangements

Dear Client,

In view of the implementation¹ of severe weather trading ("SWT") in securities and derivatives markets from 23 September 2024, GFI (HK) Securities L.L.C. ("GFIHKS"; "the Company"), informs our client that the Company will provide trading services during SWT day effective from the implementation day.

Hong Kong's securities and derivatives markets, including derivatives holiday trading, and after-hours trading, will be open during severe weather conditions.

The Company has

- put in place contingency measures to ensure continued uninterrupted operations under severe weather; and
- adequate policies and arrangements for handling orders and clearing during an SWT Day.

Please refer to **Terms of Business**.

Please note that you should review the relevant Trading Rules and Procedures in their entirety and consult with your Compliance department to ensure that your firm has a full understanding of the requirements when trading on HK Exchanges.

Yours sincerely,

GFI (HK) Securities L.L.C.

¹ HKEX to Implement Severe Weather Trading in Securities and Derivatives Markets from 23 September 2024